

COPY

Sheet 1 of 2

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
05456.105035	09/874,574	June 4, 2001
APPLICANT	GROUP	
Network Ice Corporation	2635	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Ar	AA	5,557,742	09/17/96	Smaha et al.			
	AB	5,278,901	01/11/94	Shieh et al.			
	AC	5,345,595	09/06/94	Johnson et al.			
	AD	5,414,833	05/09/95	Hershey et al.			
	AE	5,475,839	12/12/95	Watson et al.			
	AF	6,026,442	02/15/00	Lewis et al.			
	AG	6,016,553	01/18/00	Schneider et al.			
	AH	5,950,012	09/07/99	Shiell et al.			
	AI	5,881,236	03/09/99	Dickey			
	AJ	5,761,504	06/02/98	Corrigan et al.			
	AK	5,764,890	06/09/98	Glasser et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION	
					YES	NO.
	AL					
	AM					
	AN					
	AO					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	Aurobindo Sundaram, "An Introduction to Intrusion Detection," Copyright 1996, published at www.acm.org/crossroads/xrds2-4/intrus.html , pp. 1-12.
AR	Didier Samfat, Refik Molva, "IDAMN: An Intrusion Detection Architecture for Mobile Networks," IEEE Journal on Selected Areas in Communications, Vol. 15, No. 7, September 1997, pp. 1373-1380.
AS	
AT	

COPY

EXAMINER DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not

COPY

Sheet 2 of 2

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Network Ice Corporation		GROUP 2635

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AL	BA	5,630,061	05/13/97	Richter et al.			
AL	BB	4,819,234	04/04/89	Huber			
	BC						
	BD						
	BE						
	BF						
	BG						
	BH						
	BI						
	BJ						
	BK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO	
	BL						
	BM						
	BN						
	BO						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

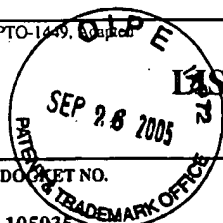
	BP	
	BR	
	BS	
	BT	

COPY

EXAMINER DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, 10-2000



LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.N./	AA	5,696,486	12/9/97	Poliquin et al.	340		
	AB	6,353,385	3/5/02	Molini et al.	340		
	AC	5,353,393	10/4/94	Bennett et al.	345		
	AD	6,429,952	8/6/02	Olbricht	358		
	AE	5,748,098	5/5/98	Grace	370		
	AF	6,396,845	5/28/02	Sugita	370		
	AG	6,711,127	3/23/04	Gorman et al.	370		
	AH	5,734,697	3/31/98	Jabbarnezhad	379		
	AI	6,104,783	8/15/00	DeFino	379		
	AJ	6,226,372	5/1/01	Beebe et al.	379		
	AK	6,445,822	9/3/02	Crill et al.	382		
	AL	6,563,959	5/13/03	Troyanker	382		
	AM	6,618,501	9/9/03	Osawa et al.	382		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.N./	AN	6,628,824	9/30/03	Belanger	382		
	AO	6,647,139	11/11/03	Kunii et al.	382		
	AP	6,661,904	12/9/03	Sasich et al.	382		
	AQ	6,668,082	12/23/03	Davison et al.	382		
	AR	6,668,084	12/23/03	Minami	382		
	AS	6,792,144	9/14/04	Yan et al.	382		
	AT	5,345,595	9/6/94	Johnson et al.	455		
	AU	6,278,886	8/21/01	Hwang	455		
	AV	6,889,168	05/03/05	Hartley et al.	702		
	AW	5,210,704	5/11/93	Husseiny	702		
	AX	5,842,002	11/24/98	Schnurer et al.	703		
	AY	5,854,916	12/29/98	Nachenberg	703		
	AZ	5,999,723	12/7/99	Nachenberg	703		
	BA	6,067,410	5/23/00	Nachenberg	703		
✓	BB	5,864,803	1/26/99	Nussbaum	704		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.N./	BC	6,014,645	1/11/00	Cunningham	705		
	BD	6,584,454	6/24/03	Hummel, Jr. et al.	705		
	BE	5,675,711	10/7/97	Kephart et al.	706		
	BF	5,907,834	5/25/99	Kephart et al.	706		
	BG	5,649,185	7/15/97	Antognini et al.	707		
	BH	5,857,191	1/5/99	Blackwell, Jr. et al.	707		
	BI	5,878,420	3/2/99	de la Salle	707		
	BJ	5,899,999	5/4/99	De Bonet	707		
	BK	6,144,961	11/7/00	de la Salle	707		
	BL	6,161,109	12/12/00	Matamoros et al.	707		
	BM	6,282,546	8/28/01	Glechauf et al.	707		
	BN	6,463,426	10/8/02	Lipson et al.	707		
	BO	6,647,400	11/11/03	Moran	707		
	BP	4,223,380	9/16/80	Antonaccio et al.	709		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER /Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
--	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
05456.105035	09/874,574	June 4, 2001
APPLICANT		GROUP
Robert David Graham		2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.N./	BQ	5,309,562	5/3/94	Li	709		
	BR	5,347,450	9/13/94	Nugent	709		
	BS	5,371,852	12/6/94	Attanasio et al.	709		
	BT	5,515,508	5/7/96	Pettus et al.	709		
	BU	5,539,659	7/23/96	McKee et al.	709		
	BV	5,630,061	5/13/97	Richter et al.	709		
	BW	5,706,210	1/6/98	Kumano et al.	709		
	BX	5,745,692	4/28/98	Lohmann, II et al.	709		
	BY	5,790,799	8/4/98	Mogul	709		
	BZ	5,835,726	11/10/98	Shwed et al.	709		
	CA	5,881,236	3/9/99	Dickey	709		
	CB	5,884,033	3/16/99	Duvall et al.	709		
	CC	5,922,051	7/13/99	Sidey	709		
	CD	5,715,395	02/03/98	Brabson et al.	709		
	CE	6,220,768	04/24/01	Barroux	709		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER	/Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.N.	CF	5,964,839	10/12/99	Johnson et al.	709		
	CG	5,974,237	10/26/99	Shurmer et al.	709		
	CH	5,974,457	10/26/99	Waclawsky et al.	709		
	CH	5,983,270	11/9/99	Abraham et al.	709		
	CJ	6,009,467	12/28/99	Ratcliff et al.	709		
	CK	6,026,442	2/15/00	Lewis et al.	709		
	CL	6,035,323	3/7/00	Narayan et al.	709		
	CM	6,041,347	3/21/00	Harsham et al.	709		
	CN	6,052,709	4/18/00	Paul	709		
	CO	6,070,190	5/30/00	Reps et al.	709		
	CP	6,073,172	6/6/00	Frailong et al.	709		
	CQ	6,085,224	7/4/00	Wagner	709		
	CR	6,119,165	9/12/00	Li et al.	709		
	CS	6,195,687	2/27/01	Greaves et al.	709		

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	-----------------	------	---------	------	------------------------

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
05456.105035	09/874,574	June 4, 2001
APPLICANT		GROUP
Robert David Graham		2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.N./	CT	6,377,994	4/23/02	Ault et al.	709		
	CU	6,397,245	5/28/02	Johnson, II et al.	709		
	CV	6,415,321	7/2/02	Gleichauf et al.	709		
	CW	6,434,615	8/13/02	Dinh et al.	709		
	CX	6,438,600	8/20/02	Greenfield et al.	709		
	CY	6,453,345	9/17/02	Trcka et al.	709		
	CZ	6,453,346	9/17/02	Garg et al.	709		
	DA	6,484,203	11/19/02	Porras et al.	709		
	DB	6,496,858	12/17/02	Frailong et al.	709		
	DC	6,519,647	2/11/03	Howard et al.	709		
	DD	6,708,212	3/16/04	Porras et al.	709		
	DE	6,711,615	3/23/04	Porras et al.	709		
	DF	6,718,383	4/6/04	Hebert	709		
	DG	2002/0032793	3/14/02	Malan et al.	709		

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	-----------------	------	---------	------	------------------------

OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER	/Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.N./	DH	2003/0037136	2/20/03	Labovitz et al.	709		
	DI	4,400,769	8/23/83	Kaneda et al.	710		
	DJ	5,511,184	4/23/96	Lin	710		
	DK	6,467,002	10/15/02	Yang	710		
	DL	5,991,856	11/23/99	Spilo et al.	711		
	DM	6,324,627	11/27/01	Kricheff et al.	711		
	DN	4,975,950	12/4/90	Lentz	713		
	DO	5,032,979	7/16/91	Hecht et al.	713		
	DP	5,121,345	6/9/92	Lentz	713		
	DQ	5,204,966	4/20/93	Wittenberg et al.	713		
	DR	5,274,824	12/28/93	Howarth	713		
	DS	5,278,901	1/11/94	Shieh et al.	713		
	DT	5,311,593	5/10/94	Carmi	713		
	DU	5,359,659	10/25/94	Rosenthal	713		
	DV	5,414,833	5/9/95	Hershey et al.	713		
✓	DW	5,475,839	12/12/95	Watson et al.	713		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
05456.105035	09/874,574	June 4, 2001
APPLICANT		GROUP
Robert David Graham		2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.N./	DX	5,557,742	9/17/96	Smaha et al.	713		
	DY	5,586,260	12/17/96	Hu	713		
	DZ	5,606,668	2/25/97	Shwed	713		
	EA	5,623,600	4/22/97	Ji et al.	713		
	EB	5,623,601	4/22/97	Vu	713		
	EC	5,696,822	12/9/97	Nachenberg	713		
	ED	5,761,504	6/2/98	Corrigan et al.	713		
	EE	5,764,887	6/9/98	Kells et al.	713		
	EF	5,764,890	6/9/98	Glasser et al.	713		
	EG	5,787,177	7/28/98	Leppek	713		
	EH	5,796,942	8/18/98	Esbensen	713		
	EI	5,798,706	8/25/98	Kraemer et al.	713		
	EJ	5,812,763	9/22/98	Teng	713		
	EK	5,815,574	9/29/98	Fortinsky	713		
	EL	5,822,517	10/13/98	Dotan	713		
	EM	6,851,057	02/01/05	Nachenberg	713		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION
						YES NO.

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
05456.105035	09/874,574	June 4, 2001
APPLICANT		GROUP
Robert David Graham		2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.N./	EN	5,826,013	10/20/98	Nachenberg	713		
	EO	5,828,833	10/27/98	Belville et al.	713		
	EP	5,832,208	11/3/98	Chen et al.	713		
	EQ	5,832,211	11/3/98	Blakley, III et al.	713		
	ER	5,838,903	11/17/98	Blakely, III et al.	713		
	ES	5,845,067	12/1/98	Porter et al.	713		
	ET	5,848,233	12/8/98	Radia et al.	713		
	EU	5,864,665	1/26/99	Tran	713		
	EV	5,875,296	2/23/99	Shi et al.	713		
	EW	5,892,903	4/6/99	Klaus	713		
	EX	5,919,257	7/6/99	Trostle	713		
	EY	5,919,258	7/6/99	Kayashima et al.	713		
	EZ	5,925,126	7/20/99	Hsieh	713		
	FA	5,931,946	8/3/99	Terada et al.	713		
	FB	5,940,591	8/17/99	Boyle et al.	713		
✓	FC	6,886,102	04/26/05	Lyle	713		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION
						YES NO.

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
05456.105035	09/874,574	June 4, 2001
APPLICANT		GROUP
Robert David Graham		2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.N./	FD	5,961,644	10/5/99	Kurtzberg et al.	713		
	FE	5,978,917	11/2/99	Chi	713		
	FF	5,983,348	11/9/99	Ji	713		
	FG	5,983,350	11/9/99	Minear et al.	713		
	FH	5,987,606	11/16/99	Cirasole et al.	713		
	FI	5,987,610	11/16/99	Franczek et al.	713		
	FJ	5,987,611	11/16/99	Freund	713		
	FK	5,991,881	11/23/99	Conklin et al.	713		
	FL	5,999,711	12/7/99	Misra et al.	713		
	FM	6,003,132	12/14/99	Mann	713		
	FN	6,061,795	5/9/00	Dircks et al.	713		
	FO	6,070,244	5/30/00	Orchier et al.	713		
	FP	6,081,894	6/27/00	Mann	713		
	FQ	6,493,752	12/10/02	Lee et al.	713		
↓	FR	5,905,859	05/18/99	Holloway et al.	713		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION
						YES NO.

OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER	/Andrew Naiven/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.N./	FS	6,088,803	7/11/00	Tso et al.	713		
	FT	6,088,804	7/11/00	Hill et al.	713		
	FU	6,092,194	7/18/00	Touboul	713		
	FV	6,098,173	8/1/00	Elgressy et al.	713		
	FW	6,119,234	9/12/00	Aziz et al.	713		
	FX	6,122,738	9/19/00	Millard	713		
	FY	6,154,844	11/28/00	Touboul et al.	713		
	FZ	6,167,520	12/26/00	Touboul	713		
	GA	6,185,689	2/6/01	Todd, Sr. et al.	713		
	GB	6,205,552	3/20/01	Fudge	713		
	GC	6,266,773	7/24/01	Kisor et al.	713		
	GD	6,266,774	7/24/01	Sampath et al.	713		
	GE	6,272,641	8/7/01	Ji	713		
	GF	6,275,938	8/14/01	Bond et al.	713		
	GG	6,275,942	8/14/01	Bernhard et al.	713		
↓	GH	6,279,113	8/21/01	Vaidya	713		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT (Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
05456.105035	09/874,574	June 4, 2001
APPLICANT	GROUP	
Robert David Graham	2134	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.N./	GI	6,298,445	10/2/01	Shostack et al.	713		
	GJ	6,301,668	10/9/01	Gleichauf et al.	713		
	GK	6,314,520	11/6/01	Schell et al.	713		
	GL	6,321,338	11/20/01	Porras et al.	713		
	GM	6,324,647	11/27/01	Bowman-Amuah	713		
	GN	6,338,141	1/8/02	Wells	713		
	GO	6,347,374	2/12/02	Drake et al.	713		
	GP	6,357,008	3/12/02	Nachenberg	713		
	GQ	6,405,318	6/11/02	Rowland	713		
	GR	6,408,391	6/18/02	Huff et al.	713		
	GS	6,460,141	10/1/02	Olden	713		
	GT	6,470,449	10/22/02	Blandford	713		
	GU	6,477,648	11/5/02	Schell et al.	713		
	GV	6,477,651	11/5/02	Teal	713		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.N./	GW	6,487,666	11/26/02	Shanklin et al.	713		
	GX	6,499,107	12/24/02	Gleichauf et al.	713		
	GY	6,510,523	1/21/03	Perlman et al.	713		
	GZ	6,519,703	2/11/03	Joyce	713		
	HA	6,530,024	3/4/03	Proctor	713		
	HB	6,546,493	4/8/03	Magdych et al.	713		
	HC	6,574,737	6/3/03	Kingsford et al.	713		
	HD	6,578,147	6/10/03	Shanklin et al.	713		
	HE	6,681,331	1/20/04	Munson et al.	713		
	HF	6,691,232	2/10/04	Wood et al.	713		
	HG	6,704,874	3/9/04	Porras et al.	713		
	HH	6,725,377	4/20/04	Kouznetsov	713		
	HI	6,725,378	4/20/04	Schuba et al.	713		
	HJ	6,775,780	8/10/04	Muttik	713		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.N./	HK	6,792,546	9/14/04	Shanklin et al.	713		
	HL	6,816,973	11/9/04	Gleichauf et al.	713		
	HM	6,839,850	1/4/05	Campbell et al.	713		
	HN	2001/0034847	10/25/01	Gaul, Jr.	713		
	HO	2002/0035698	3/21/02	Malan et al.	713		
	HP	2002/0083331	6/27/02	Krumel	713		
	HQ	2002/0083334	6/27/02	Rogers et al.	713		
	HR	2002/0138753	9/26/02	Munson	713		
	HS	2002/0144156	10/3/02	Copeland, III	713		
	HT	2003/0088791	5/8/03	Porras et al.	713		
	HU	2003/0212903	11/13/03	Porras et al.	713		
	HV	2004/0010718	1/15/04	Porras et al.	713		
	HW	4,672,609	6/9/87	Humphrey et al.	714		
	HX	4,773,028	9/20/88	Tallman	714		
↓	HY	5,398,196	3/14/95	Chambers	714		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER	/Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.N./	HZ	5,440,723	8/8/95	Arnold et al.	714		
/A.N./	IA	5,452,442	9/19/95	Kephart	714		
	IB	5,649,095	7/15/97	Cozza	714		
	IC	5,765,030	6/9/98	Nachenberg et al.	714		
	ID	5,964,889	10/12/99	Nachenberg	714		
	IE	6,006,016	12/21/99	Faigon et al.	714		
	IF	6,016,553	1/18/00	Schneider et al.	714		
	IG	6,021,510	2/1/00	Nachenberg	714		
	IH	6,029,256	2/22/00	Kouznetsov	714		
	II	6,035,423	3/7/00	Hodges et al.	714		
	IJ	6,094,731	7/25/00	Waldin et al.	714		
	IK	6,108,799	8/22/00	Boulay et al.	714		
	IL	6,173,413	1/9/01	Slaughter et al.	714		
	IM	6,912,676	06/28/05	Gusler et al.	714		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.N./	IN	6,199,181	3/6/01	Rechef et al.	714		
	IO	6,230,288	5/8/01	Kuo et al.	714		
	IP	6,314,525	11/6/01	Mahalingham et al.	714		
	IQ	6,324,656	11/27/01	Gleichauf et al.	714		
	IR	6,601,190	7/29/03	Meyer et al.	714		
	IS	2002/0032880	3/14/02	Poletto et al.	714		
	IT	5,454,074	9/26/95	Hartel et al.	715		
	IU	5,522,026	5/28/96	Records et al.	715		
	IV	6,271,840	8/7/01	Finseth et al.	715		
	IW	6,517,587	2/11/03	Satyavolu et al.	715		
	IX	6,535,227	3/18/03	Fox et al.	715		
	IY	4,819,234	4/4/89	Huber	717		
	IZ	5,590,331	12/31/96	Lewis et al.	717		
	JA	5,774,727	6/30/98	Walsh et al.	717		
✓	JB	5,872,978	2/16/99	Hoskins	717		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.N./	JC	5,950,012	9/7/99	Shiell et al.	717		
	JD	6,118,940	9/12/00	Alexander, III et al.	717		
	JE	6,405,364	6/11/02	Bowman-Amuah	717		
	JF	6,606,744	8/12/03	Mikurak	717		
	JG	6,397,242	5/28/02	Devine et al.	718		
	JH	2002/0032717	3/14/02	Malan et al.	718		
	JI	6,477,585	11/5/02	Cohen et al.	719		
↓	JJ	6,721,806	4/13/04	Boyd et al.	719		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

BOX 1 / 4**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
/A.N./ JK	WO 01/084285	11/08/01	PCT	Internet Security Systems, Inc.	
JL	WO 02/006928	01/24/02	PCT	vCIS, Inc.	
JM	WO 00/054458	09/14/00	PCT	Psionic Software, Inc.	
JN	WO 02/056152	07/18/02	PCT	Psionic Software	
JO	0 985 995	08/13/03	EP	International Business Machines Corp.	
JP	WO 93/25024	12/09/93	PCT	Cyberlock Data Intelligence, Inc.	
JQ	WO 00/02115	01/13/00	PCT	PRC Inc.	
JR	WO 99/00720	01/07/99	PCT	Telefonaktiebolaget LM Ericsson	
JS	WO 99/50734	10/07/99	PCT	AT&T Corporation	
JT	WO 98/41919	09/24/98	PCT	Trend Micro, Inc.	
JU	WO 99/53391	10/21/99	PCT	Sun Microsystems, Inc.	
JV	0 636 977	05/23/01	EP	Chambers	
JW	WO 99/15966	04/01/99	PCT	Symantec Corporation	

OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

JX	Essex, David, E-Sleuths Make Net Safe for E-Commerce, Computerworld, June 2000, pp. 1-2
JY	Newman, David, Intrusion Detection Systems, Data Communications, 1998, pp. 1-9
JZ	International Search Report for PCT/US02/17161 of December 31, 2002
KA	Hyland, et al., Concentric Supervision of Security Applications: A New Security Management Paradigm Computer Security Applications Conference, 1998, pp. 59-68

EXAMINER /Andrew Nalven/ (04/16/2007) DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

BOX 1 / 4**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
-----------------	------	---------	------	------------------------

OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

/A.N./	KB	Koilpillai et al., Recon-A Tool for Incident Detection, Tracking and Response, Darpa Information Survivability Conference and Exposition, 2000, pp. 199-206
	KC	Alves-Foss, J., An Overview of SNIF: A Tool for Surveying Network Information Flow, Network and Distributed System Security, 1995, pp. 94-101
	KD	Mansouri-Samani et al., A Configurable Event Service for Distributed Systems Configurable Distributed Systems, 1996, pp. 210-217
	KE	International Search Report for PCT/US01/13769 of March 8, 2002
	KF	Jagannathan et al., System Design Document: Next-Generation Intrusion Detection Expert Systems (NIDES), Internet Citation, March 9, 1993, XP002136082, pp. 1-66
	KG	Koilpillai, Adaptive Network Security Management, DARPA NGI PI Conference, October 1998, pp. 1-27
	KH	Hiverworld Continuous Adaptive Risk Management, Hiverworld, Inc., 1999-2000, pp. 1-14
	KI	International Search Report for PCT/US02/04989 of September 19, 2002
	KJ	International Search Report for PCT/US02/02917 of August 8, 2002
	KK	International Search Report for PCT/US03/00155 of May 15, 2003
	KL	NXI Communications, Inc., White Paper, NTS Security Issues, October 15, 2001, pp. 1-12
	KM	Mounji et al., Distributed Audit Trail Analysis, Proceedings of the Symposium of Network and Distributed System Security, San Diego, CA, February 16-17, 1995, PP. 102-112
✓	KN	Wobber et al., Authentication in the Taos Operating System, ACM Transactions on Computer Systems, Vol. 12, No. 1, February 1994, pp. 3-32

EXAMINER /Andrew Nalven/ (04/16/2007) DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE	
05456.105035		09/874,574		June 4, 2001	
APPLICANT				GROUP	
Robert David Graham				2134	
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS
FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	NAME
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)					
/A.N./	KO	Mayer et al., The Design of the Trusted Workstation: A True Infosec Product, 13 th National Computer Security Conference, Washing, DC, October 1-4, 1990, pp. 827-839			
	KP	Dawson, Intrusion Protection for Networks, Byte, April 1995, pp. 171-172			
	KQ	Buhkan, Checkpoint Charlie, PC Week Network, November 27, 1995, pp. N1, N6-N7			
	KR	Process Software Technical Support Page, found on http://www.process.com/techsupport/whitesec.html , printed off of the Process Software website on February 26, 2003, pp. 1-5			
	KS	Ganesan, BAFirewall: A Modern Firewall Design, Proceedings Internet Society Symposium on Network and Distributed System Security 1994, Internet Soc., 1994, pp. 99-108			
	KT	Lee, Trusted Systems, Chapter II-1-6 of Handbook of Information Security Management, Ed. Zella G. Ruthberg and Harold F. Tipton, Auerbach, Boston and New York, 1993, pp. 345-362			
	KU	Lunt, Automated Intrusion Detection, Chapter II-4-4 of Handbook of Information Security Management, Ed. Zella G. Ruthberg and Harold F. Tipton, Auerbach, Boston and New York, 1993, pp. 551-563			
	KV	Guha et al., Network Security via Reverse Engineering of TCP Code: Vulnerability Analysis and Proposed Solution, IEEE, March 1996, pp. 603-610			
	KW	Garg et al., High Level Communication Primitives for Concurrent Systems, IEEE, 1988, pp. 92-99			
	KX	Hastings et al., TCP/IP Spoofing Fundamentals, IEEE, May 1996, pp. 218-224			
	KY	Snapp, Signature Analysis and Communication Issues in a Distributed Intrusion Detection System, Master Thesis, University of California, Davis, California, 1991, pp. 1-40			
	KZ	Guha et al., Network Security via Reverse Engineering of TCP Code: Vulnerability Analysis and Proposed Solutions, IEEE, July 1997, pp. 40-48			
EXAMINER		/Andrew Nalven/ (04/16/2007)		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

BOX 1 / 4**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
-----------------	------	---------	------	------------------------

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

/A.N./	LA	Djahandari et al., An MBone for an Application Gateway Firewall, IEEE, November 1997, pp. 72-81
	LB	Kim et al., Implementing a Secure Login Environment: A Case Study of Using a Secure Network Layer Protocol, Department of Computer Science, University of Alabama, June 1995, pp. 1-9
	LC	Satyanarayanan, Integrating Security in a Large Distributed System, Acm Transaction on Computer Systems, Vol. 7, No. 3, August 1989, pp. 47-280
	LD	Sammons, Nathaniel, "Multi-platform Interrogation and Reporting with Rscan," The Ninth Systems Administration Conference, LISA 1995, Monterrey, California, September 17-22, 1995, pp. 75-87
	LE	Dean et al., "Java Security: From HotJava to Netscape and Beyond," Proceedings of the 1996 IEEE Symposium on Security and Privacy, May 6-8, 1996, Oakland, California, pp. 190-200.
	LF	Fisch et al., "The Design of an Audit Trail Analysis Tool," Proceedings of the 10 th Annual Computer Security Applications Conference, December 5-9, 1994, Orlando, Florida, pp. 126-132.
	LG	Safford et al., "The TAMU Security Package: An Ongoing Response to Internet Intruders in an Academic Environment," USENIX Symposium Proceedings, UNIX Security IV, October 4-6, 1993, Santa Clara, California, pp. 91-118.
	LH	Sugawara, Toshiharu, "A Cooperative LAN Diagnostic and Observation Expert System," Ninth Annual Phoenix Conference on Computers and Communications, 1990 Conference Proceedings, March 21-23, 1990, Scottsdale, Arizona, pp. 667-674.
	LI	Casella, Karen A., "Security Administration in an Open Networking Environment," The Ninth Systems Administration Conference, LISA 1995, Monterrey, California, September 17-22, 1995, pp. 67-73.
	LJ	Burchell, Jonathan, "Vi-SPY: Universal NIM?" Virus Bulletin, January 1995, pp. 20-22.
✓	LK	Benzel et al., "Identification of Subjects and Objects in a Trusted Extensible Client Server Architecture," 18 th National Information Systems Security Conference, October 10-13, 1995, Baltimore, Maryland, pp. 83-99.

EXAMINER /Andrew Nalven/ (04/16/2007) DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035		SERIAL NO. 09/874,574		FILING DATE June 4, 2001	
APPLICANT Robert David Graham				GROUP 2134	
BOX 1 / 4					
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
FILING DATE IF APPROPRIATE					
FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)					
/A.N/	LL	Epstein et al., "Component Architectures for Trusted Netware," 18 th National Information Systems Security Conference, October 10-13, 1995, Baltimore, Maryland, pp. 455-463.			
	LM	Varadharajan, Vijay, "Design and Management of a Secure Networked Administration System: A Practical Approach," 19 th National Information Systems Security Conference, October 22-25, 1996, Baltimore, Maryland, pp. 570-580.			
	LN	Snapp et al., "DIDS (Distributed Intrusion Detection System) - Motivation, Architecture, and An Early Prototype," 14 th National Computer Security Conference, October 1-4, 1991, Washington, DC, pp. 167-176.			
	LO	Broner et al., "Intelligent I/O Rule-Based Input/Output Processing for Operating Systems," Operating Systems Review, Volume 25, Number 3, July 1991, pp. 10-26.			
	LP	Drews et al., "Special Delivery - Automatic Software Distribution Can Make You A Hero," Network Computing, August 1, 1994, pp. 80, 82-86, 89, 91-95.			
	LQ	Morrissey, Peter, "Walls," Network Computing, February 15, 1996, pp. 55-59, 65-67.			
	LR	Harlander, Dr. Magnus, "Central System Administration in a Heterogenous Unix Environment: GeNUAdmin," Proceedings of the Eighth Systems Administration Conference (LISA VIII), September 19-23, 1994, San Diego, California, pp. 1-8.			
	LS	Shaddock et al., "How to Upgrade 1500 Workstations on Saturday, and Still Have Time to Mow the Yard on Sunday," The Ninth Systems Administration Conference LISA '95, September 17-22, 1995, Monterrey, California, pp. 59-65.			
	LT	Anderson, Paul, "Towards a High-Level Machine Configuration System," Proceedings of the Eighth Systems Administration Conference (LISA VIII), September 19-23, 1994, San Diego, California, pp. 19-26.			
✓	LU	Cooper, Michael A., "Overhauling Rdist for the '90s," Proceedings of the Sixth Systems Administration Conference (LISA VI), October 19-23, 1992, Long Beach, California, pp. 175-188.			
EXAMINER /Andrew Nalven/ (04/16/2007)				DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE	
05456.105035		09/874,574		June 4, 2001	
APPLICANT				GROUP	
Robert David Graham				2134	
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	NAME
					TRANSLATION YES NO.
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)					
/A.N.	LV	Vangala et al., "Software Distribution and Management in a Networked Environment," Proceedings of the Sixth Systems Administration Conference, October 19-23, 1992, Long Beach, California, pp. 163-170.			
	LW	Kim et al., "The Design and Implementation of Tripwire: A File System Integrity Checker," 2 nd ACM Conference on Computer and Communications Security, November 2-4, 1994, Fairfax, Virginia, pp. 18-29.			
	LX	Winn Schwartau, "e.Security™-Solving 'Dumb Days' With Security Visualization," e-Security, Inc., Naples, FL 34103, 2000.			
	LY	Anita D'Amico, Ph.D., "Assessment of Open e-Security Platform™: Vendor-Independent Central Management of Computer Security Resources," Applied Visions, Inc., 1999.			
	LZ	"e.Security™-Open Enterprise Security Management: Delivering an integrated, automated, centrally Managed Solution You Can Leverage Today and Tomorrow," e-Security, Inc., Naples, FL 34102, 1999.			
	MA	"e.Security™-Vision," e-Security, Inc., Naples, FL, 1999.			
	MB	"e.Security™ -Administrator Workbench™," e-Security, Inc. Naples, FL, 1999.			
	MC	"e.Security™-Fact Sheet," e-Security, Inc., Naples, FL, 1999.			
	MD	"e.Security™-Open e-Security Platform™," e-Security, Inc. Naples, FL, 1999.			
	ME	Babcock, "E-Security Tackles The Enterprise," July 28, 1999; <u>Inter@ctive Week</u> , www.Zdnet.com.			
	MF	Kay Blough, "In Search of More-Secure Extranets," November 1, 1999, www.InformationWeek.com			
	MG	Paul H. Desmond, "Making Sense of Your Security Tools," Software Magazine and Wiesner Publishing, <u>www.softwagemag.com</u> , 1999.			
✓	MH	Kay Blough, "Extra Steps Can Protect Extranets," November 1, 1999, www. InformationWeek.com			
EXAMINER		/Andrew Nalven/ (04/16/2007)		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE	
05456.105035		09/874,574		June 4, 2001	
APPLICANT				GROUP	
Robert David Graham				2134	
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS
FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	NAME
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)					
/A.N./	MI	Sean Hao, "Software protects e-commerce - e-Security's product alerts networks when hackers attack," Florida Today, Florida.			
	MJ	Scott Weiss, "Security Strategies - E-Security, Inc.," product brief, Hurwitz Group, Inc., March 24, 2000.			
	MK	Sean Adeo, CISA, "Managed Risk, Enhanced Response -The Positive Impact of Real-Time Security Awareness," Information Systems Control Journal, Vol. 2, 2000			
	ML	"Reprint Review - The Information Security Portal - Open e-Security Platform Version 1.0", February 2000, West Coast Publishing, SC Magazine, 1999			
	MM	"e.Security - "Introducing the First Integrated, Automated, and Centralized Enterprise Security Management System," white paper, e-Security, Inc., Naples, FL 34102, 1999			
	MN	Ann Harrison, "Computerworld - Integrated Security Helps Zap Bugs," Feb. 21, 2000, Computerworld, Vol. 34, No. 8, Framingham, MA.			
	MO	Shruti Daté, "Justice Department Will Centrally Monitor Its Systems For Intrusions," April 3, 2000, Post-Newsweek Business Information, Inc., www.gcn.com.			
	MP	e.Security™, website pages (pp 1-83), www.esecurityinc.com, e-Security, Inc., Naples, FL 34103, September 14, 2000.			
	MQ	Peter Sommer, "Intrusion Detection Systems as Evidence," Computer Security Research Centre, United Kingdom.			
	MR	Musman et al., System or Security Managers Adaptive Response Tool, DARPA Information Survivability Conference and Exposition, January 25, 2000, pp. 56-68			
✓	MS	Gibson Research Corporation Web Pages, Shields Up! - Internet Connection Security Analysis, grc.com/default.htm, Laguna Hills, California, 2000			
EXAMINER					DATE CONSIDERED
/Andrew Nalvon/ (04/16/2007)					
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE			
05456.105035		09/874,574		June 4, 2001			
APPLICANT				GROUP			
Robert David Graham				2134			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	NAME		TRANSLATION YES NO.
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)							
/A.N./	MT	Rouse et al., Design and Evaluation of an Onboard Computer-Based Information System fro Aircraft, IEEE Transactions of Systems, Man, and Cybernetics, Vol. SMC-12, No. 4, July/August 1982, pp. 451-463					
	MU	Hammer, An Intelligent Flight-Management Aid for Procedure Execution, IEEE Transactions on Systems, Man, and Cybernetics, Vol. SMC-14, No. 6, November/December 1984, pp. 885-888					
	MV	Mann et al., Analysis of User Procedural Compliance in Controlling a Simulated Process, IEEE Transactions on Systems, Man, and Cybernetics, Vol. SMC-16, No. 4, July/August 1986					
	MW	Todd, Signed and Delivered: An Introduction to Security and Authentication, Find Out How the Jave Security API Can Help you Secure your Code, Javaworld, Web Publishing, Inc., San Francisco, December 1, 1998, pp. 1-5					
	MX	Arvind, Secure This. Inform, Association for Information and Image Management, Silver Spring, September /October 1999, pp. 1-4					
	MY	Stevens, TCP/IP Illustrated, Vol. 1, 1994, pp. 247					
	MZ	Lee et al., A Generic Virus Detection Agent on the Internet, IEEE, 30 th Annual Hawaii International Conference on System Sciences, 1997, Vol. 4					
	NA	Cutler, Inside Windows NT, 1993, Microsoft Press					
	NB	Duncan, Advanced MS-Dos, 1986, Microsoft Press					
	NC	McDaniel, IBM Dictionary of Computing, 1994, International Business Machines Corporation					
	ND	Burd, Systems Architecture, 1998, Course Technology, Second Edition					
	NE	Programmer's Guide PowerJ, 1997, Sybase					
<div style="display: flex; justify-content: space-between;"> EXAMINER /Andrew Nalven/ (04/16/2007) DATE CONSIDERED </div>							
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE	
05456.105035		09/874,574		June 4, 2001	
APPLICANT				GROUP	
Robert David Graham				2134	
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS SUBCLASS FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	NAME TRANSLATION YES NO.
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)					
/A.N./	NF	Swimmer et al., Dynamic detection and classification of computer viruses using general behavior patterns, 1995, Proceedings of the Fifth International Virus Bulletin Conference, Boston			
	NG	Advanced Virus Detection Technology for the Next Millennium, August 1999, Network Associates, A Network Associates Executive White Paper, pp. 1-14			
	NH	Enterprise-Grade Anti-Virus Automation in the 21 st Century, June 2000, Symantec, Technology Brief, pp. 1-17			
	NI	Kephart et al., Blueprint for a Computer Immune System, 1997, Retrieved from Internet, URL: http://www.research.ibm.com/antivirus/scipapers/kephart/VB97 , pp. 1-15			
	NJ	Richardson, Enterprise Antivirus Software, February 2000, Retrieved from Internet, URL: http://www.networkmagazine.com/article/nmg20000426S0006 , pp. 1-6			
	NK	Understanding and Managing Polymorphic Viruses, 1996, Symantec, The Symantec Enterprise Papers, Vol. XXX, pp. 1-13			
	NL	Gong, JavaTM Security Architecture (JDK1.2), October 2, 1998, Sun Microsystems, Inc., Version 1.0, pp. i-iv, 1-62			
	NM	Softworks Limited VBVM Whitepaper, November 3, 1998, Retrieved from the Internet, URL: http://web.archive.org/web/19981203105455/http://softworks1td.com/vbvm.html , pp. 1-4			
	NN	Kephart, A Biologically Inspired Immune System for Computers, 1994, Artificial Life IV, pp. 130-139			
	NO	International Search Report for PCT/US01/26804 of March 21, 2002			
	NP	Kosoresow et al., Intrusion Detection via System Call Traces, IEEE Software, pp. 35-42, September/October 1997			
✓	NQ	Veldman, Heuristic Anti-Virus Technology, Proceedings, 3 rd International Virus Bulletin Conference, pp. 67-76, September 1993			
EXAMINER		/Andrew Nalven/ (04/16/2007)		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE	
05456.105035		09/874,574		June 4, 2001	
APPLICANT				GROUP	
Robert David Graham				2134	
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS
FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	NAME
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)					
/A.N./	NR	Symantec, Understanding Heuristics: Symantec's Bloodhound Technology, Symantec White Paper Series, Vol. XXXIV, pp. 1-14, September 1997			
	NS	Nachenberg, A New Technique for Detecting Polymorphic Computer Viruses, A thesis submitted in partial satisfaction of the requirements for the degree Master of Science in Computer Science, University of California Los Angeles, pp. 1-127, 1995			
	NT	Microsoft P-Code Technology, http://msdn.microsoft.com/archive/default.asp?url=/archive/en-us/dnarvc/html/msdn_c7pcode2.asp , pp. 1-6, April 1992			
	NU	DJGPP COFF Spec, http://delorie.com/djgpp/doc/coff/ , pp. 1-15, October 1996			
	NV	Natvig, Sandbox Technology Inside AV Scanners, Virus Bulletin Conference, September 2001, pp. 475-488			
	NW	Norman introduces a new technique for eliminating new computer viruses, found on Norman's website, file:///c:/documents%20and%20settings/7489/local%20settings/temporary%20internet%20files/volk , pp. 1-2, published October 25, 2001, printed from website December 27, 2002			
	NX	International Search Report for PCT/US01/19142 of January 17, 2003			
	NY	Using the CamNet BBS FAQ, http://www.cam.net.uk/manuals/bbsfaq/bbsfaq.htm , January 17, 1997.			
	NZ	Express Storehouse Ordering System, "Accessing ESOS through the Network", http://www-bfs.ucsd.edu/mss/esos/man3.htm , September 3, 1996.			
	OA	NASIRE, NASIRC Bulletin #94-10, http://cs-www.ncsl.nist.gov/secalert/nasa/nasa9410.txt , March 29, 1994.			
	OB	Packages in the net directory, http://linux4u.jinr.ru/usoft/WWW/www_debian.org/FTP/net.html , March 20, 1997.			
✓	OC	Sundaram, An Introduction to Intrusion Detection, Copyright 1996, published at www.acm.org/crossroads/xrds2-4/intrus.html , pp. 1-12			
EXAMINER		/Andrew Nalven/ (04/16/2007)		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
05456.105035	09/874,574	June 4, 2001
APPLICANT		GROUP
Robert David Graham		2134

BOX 1 / 4

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION	
						YES	NO.

/A.N./	OD	Samfat, IDAMN: An Intrusion Detection Architecture for Mobile Networks, IEEE Journal on Selected Areas in Communications, Vol. 15, No. 7, September 1997, pp. 1373-1380
--------	----	---

/A.N./^{OD}

OD

Samfat, IDAMN: An Intrusion Detection Architecture for Mobile Networks, IEEE Journal on Selected Areas in Communications, Vol. 15, No. 7, September 1997, pp. 1373-1380

OE

INFO: Visual Basic Supports P-Code and Native Code Compilation (Q229415),
<http://support.micorsoft.com/support/kb/articles/Q229/4/15.ASP>, pp. 1-2, April 28, 1999

OF

International Search Report for PCT/US99/29117 of May 2, 2000

EXAMINER	/Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Use several sheets if necessary)

BOX 1 / 4

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	--	-----------------	------	------	-------	----------	-------------------------------

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION
						YES NO.

/A.N./[illegible]

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

BOX 2 / 4**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
-----------------	------	---------	------	------------------------

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

/A.N./	ON	Nordin, U of MN OIT Security and Assurance, February 9, 2000
	OO	Internet Security Systems, RealSecure SiteProtector, SAFEsuite Decisions to SiteProtector Migration, August 8, 2003, Pages 1-42
	OP	Internet Security Systems, SAFEsuite Enterprise, SAFEsuite Decisions, 1998
	OQ	Internet Security Systems, SAFEsuite Enterprise, Recognizing the Need for Enterprise Security: An Introduction to SAFEsuite Decisions, August 1998, Pages 1-9
	OR	Internet Security Systems, SAFEsuite Decisions 2.6, Frequently Asked Questions, February 21, 2001, Pages 1-10
	OS	Internet Security Systems, SAFEsuite Decisions Version 1.0, User's Guide, 1998, Pages 1-78
	OT	Porras et al., Emerald: Event Monitoring Enabling Responses to Anomalous Live Disturbances, Computer Science Laboratory, SRI International, Menlo Park, CA, October 1997, Pages 353-365
	OU	Cisco Systems, Empowering the Internet Generation, 1998
	OV	Messmer, Start-Up Puts Hackers on BlackICE, Network World Fusion, http://www.nwfusion.com/cgi-bin/mailto/x/cgi , April 21, 1999, Page 1-2
	OW	NetworkICE Corporation, Can You Explain How Your Product Can Protect a Remote User with a VPN Client?, 1998-1999, Pages 1-2, http://www.webarchive.org/web/20000304071415/advice.networkice.com/advice/support/kb/q000003/default
✓	OX	Yasin, Start-Up Puts Network Intruders on Ice, http://www.internetweek.com/story/INW19990505S0001 , May 5, 1999, Pages 1-2

EXAMINER /Andrew Naiven/ (04/16/2007) DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE	
05456.105035		09/874,574		June 4, 2001	
APPLICANT				GROUP	
Robert David Graham				2134	
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	NAME
					TRANSLATION YES NO.
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)					
/A.N./	OY	Morency, NetworkWorldFusion, http://nwfusion.com/cgi-bin/mailto/x.cgi , June 28, 1999, Pages 1-2			
	OZ	Rogers, Network ICE Touts Security Wares, April 23, 1999, San Mateo, California, http://www.crn.com/showArticle.jhtml?articleID=18829106&flatPage=true , Pages 1-2			
	PA	Rogers, Network ICE Signs Resellers, May 26, 1999, San Mateo, California, http://www.crn.com/showArticle.jhtml?articleID=18805302&flatPage=true , Pages 1-2			
	PB	Internet Security Systems, I've Been Attacked! Now What?, August 27, 1999, http://www.iss.net/security_center/advice/Support/KB/q000033/default.htm , Pages 1-2			
	PC	Internet Security Systems, What is the Format of "Attack-List.CSV"?, August 21, 1999, http://www.iss.net/security_center/advice/Support/KB/q000018/default.htm , Pages 1-2			
	PD	Neumann et al., Experience with Emerald to Date, April 11-12, 1999, 1 st USENIX Workshop on Intrusion Detection and Network Monitoring, Santa Clara, California, Pages 1-9			
	PE	Lindqvist et al., Detecting Computer and Network Misuse Through the Production-Based Expert System Toolset (P-BEST), May 9-12, 1999, Proceedings of the 1999 IEEE Symposium on Security and Privacy, Oakland, California, Pages 1-16			
	PF	Kendall, A Database of Computer Attacks for the Evaluation of Intrusion Detection Systems, June 1999, Department of Defense Advanced Research Projects Agency, Pages 1-124			
	PG	Neumann, Computer Security and the U.S. Infrastructure, November 6, 1997, Congressional Testimony, Pages 1-11			
✓	PH	Porras et al., Life Traffic Analysis of TCP/IP Gateways, November 10, 1997, Internet Society's Networks and Distributed Systems Security Systems Symposium, March 1998, http://www.sdl.sri.com/projects/emerald/live-traffic.html , Pages 1-16			
EXAMINER		/Andrew Nalven/ (04/16/2007)		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE	
05456.105035		09/874,574		June 4, 2001	
APPLICANT				GROUP	
Robert David Graham				2134	
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME		FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)					
/A.N./	PI	Raynaud et al., Integrated Network Management IV, 1995, Proceedings of the 4 th International Symposium on Integrated Network Management, Pages 1-2 and 5-16			
	PJ	Heberlein et al., A Method to Detect Intrusive Activity in a Networked Environment, October 1-4, 1991, 14 th National Computer Security Conference, Washington, D.C., Pages 362-363 and 365-371			
	PK	Ko et al., Execution Monitoring of Security-Critical Programs in Distributed Systems: A Specification-Based Approach, 1997, Proceedings of the 1997 IEEE Symposium on Security and Privacy, Pages 175-187			
	PL	Crosbie et al., Active Defense of a Computer System Using Autonomous Agents, Technical Report No. 95-008, February 15, 1995, Purdue University, West Lafayette, Indiana, Pages 1-14			
	PM	Mansouri-Samani et al., Monitoring Distributed Systems, November 1993, IEEE Network, Pages 20-30			
	PN	Jakobson et al., Alarm Correlation, November 1993, IEEE Network, Pages 52-59			
	PO	Anderson et al., Next-Generation Intrusion Detection Expert (NIDES), A Summary, May 1995, SRI International, Pages 1-37			
	PP	Veritas Software, Press Release, Robust Enhancements in Version 6.0 Maintain Seagate WI as the De Facto Standard for Software Distribution, October 6, 1997, Press Releases, Pages 1-4, http://216.239.39.104/search?q=cache:HS9kmK1m2QoJ:www.veritas.com/us/aboutus/pressroom/199....			
	PQ	Yasin, Network-Based IDS are About to Stop Crying Wolf, Security Mandate: Silence False Alarms, April 9, 1999, http://lists.jammed.com/ISN/1999/04/0021.html , Pages 1-3			
	PR	Internet Security Systems, Press Release, ISS Reports Record Revenues and Net Income for Second Quarter, July 19, 1999, http://bvlive01.iss.net/issEn/delivery/prdetail.jsp?type=Financial&oid=14515 , Pages 1-5			
✓	PS	LaPadula, State of the Art in CyberSecurity Monitoring, A Supplement, September 2001, Mitre Corporation, Pages 1-15			
EXAMINER		/Andrew Nalven/ (04/16/2007)		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE	
05456.105035		09/874,574		June 4, 2001	
APPLICANT				GROUP	
Robert David Graham				2134	
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS SUBCLASS FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	NAME TRANSLATION YES NO.
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)					
/A.N.	PT	Balasubramaniyan et al., An Architecture for Intrusion Detection Using Autonomous Agents, June 11, 1998, Purdue University, West Lafayette, Indiana, Pages 1-4, http://gunther.smeal.psu.edu/images/b9/f3/bb/9e/ba7f39c3871dcedeb9abd0f70cb84607/1.png			
	PU	Crosbie et al., Active Defense of a Computer System Using Autonomous Agents, February 15, 1995, Technical Report No. 95-008, Purdue University, West Lafayette, Indiana, Pages 1-14			
	PV	Crosbie et al., Defending a Computer System Using Autonomous Agents, March 11, 1994, Technical Report No. 95-022, Purdue University, West Lafayette, Indiana, Pages 1-11			
	PW	Denning, An Intrusion-Detection Model, February 1987, IEEE Transactions on Software Engineering, Vol. SE-13, No. 2, Pages 1-17			
	PX	Lunt, A Survey of Intrusion Detection Techniques, 1993, Computers & Security, 12 (1993), Pages 405-418			
	PY	Porras et al., Penetration State Transition Analysis A Rule-Based Intrusion Detection Approach, 1992, Pages 220-229			
	PZ	Javitz et al., The NIDES Statistical Component: Description and Justification, SRI International, Menlo Park, California, SRI Project 3131, March 7, 1994			
	QA	Lindqvist et al., Detecting Computer and Network Misuses Through the Production-Based Expert System Toolset (P-BEST), October 25, 1998, Pages 1-20			
	QB	Javitz et al., The SRI IDES Statistical Anomaly Detector, SRI International, Menlo Park, California, May 1991, IEEE Symposium on Security and Privacy, Pages 1-11			
	QC	Porras et al., Live Traffic Analysis of TCP/IP Gateways, November 10, 1997, SRI International, Menlo Park, California, Pages 1-16			
EXAMINER /Andrew Nalven/ (04/16/2007)				DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

BOX 2 / 4**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
-----------------	------	---------	------	------------------------

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

/A.N./	QD	Porras et al., Live Traffic Analysis of TCP/IP Gateways, December 12, 1997, SRI International, Menlo Park, California, Proceedings of the 1998 ISOC Symposium on Network and Distributed Systems Security, Pages 1-13
	QE	Information & Computing Sciences: System Design Laboratory: Programs: Intrusion Detection, SRI International, http://www.sdl.sri.com/programs/intrusion/ , June 17, 2004, Pages 1-2
	QF	Lindqvist et al., eXpert-BSM: A Host-based Intrusion Detection Solution for Sun Solaris, SRI International, Menlo Park, California, December 10-14, 2001, Proceedings of the 17 th Annual Computer Security Applications Conference, Pages 1-12
	QG	Almgren et al., Application-Integrated Data Collection for Security Monitoring, October 10-12, 2001, SRI International, Menlo Park, California, Pages 1-15
	QH	Debar et al., Research Report: A Revised Taxonomy for Intrusion-Detection Systems, October 25, 1999, IBM Research, Switzerland, Pages 1-23
	QI	Porras et al., Emerald: Event Monitoring Enabling Responses to Anomalous Live Disturbances, Computer Science Laboratory, SRI International, Menlo Park, CA, December 18, 1996, Pages 1-3.
	QJ	Frequently-Asked Questions about RealSecure, Pages 1-14, http://web.archive.org/web/19970721183227/iss.net/prod/rs_faq.html , May 30, 1997
	QK	Cisco Systems, Inc., Empowering the Internet Generation, 1998.
	QL	Internet Security Systems, Inc., RealSecure Release 1.2 for UNIX, A User Guide and Reference Manual, 1997.
	QM	Internet Security Systems, Inc., Real-time attack recognition and response: A solution for tightening network security, January 1997, Pages 1-13.
✓	QN	Internet Security Systems, Inc., SAFEsuite Decisions User Guide, Version 1.0, 1998, Pages 1-78

EXAMINER	/Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

BOX 2 / 4**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
-----------------	------	---------	------	------------------------

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

/A.N./	QO	NetworkICE Corporation, ICEcap Administrator's Guide, Version 1.0 BETA, 1999, Pages 1-142.
	QP	Debar, Herve et al., A Neural Network Component for an Intrusion Detection System, 1992, Pages 240-250.
	QQ	SRI International, A Prototype IDIES: A Real-Time Intrusion-Detection Expert System, August 1987, Page 1-63.
	QR	SRI International, Requirements and Model for IDIES-A Real-Time Intrusion-Detection Expert System, August 1985, Pages 1-70.
	QS	SRI International, An Intrusion-Detection Model, November 1985, Pages 1-29.
	QT	Dowell et al., The ComputerWatch Data Reduction Tool, Proc. of the 13 th National Computer Security Conference, Washington, D.C., October 1990, Pages 99-108.
	QU	Fox et al., A Neural Network Approach Towards Intrusion Detection, July 2, 1990, Pages 125-134.
	QV	Garvey et al., Model-Based Intrusion Detection, October 1991, Pages 1-14.
✓	QW	Ilgun et al., State Transition Analysis: A Rule-Based Intrusion Detection Approach, March 1995, Pages 181-199.

EXAMINER /Andrew Nalven/ (04/16/2007) DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT (Use several sheets if necessary)

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

BOX 2 / 4**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
-----------------	------	---------	------	------------------------

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

/A.N./	QX	Javitz et al., The SRI IDES Statistical Anomaly Detector, May 1991, Pages 1-11.
	QY	SRI International, The NIDES Statistical Component Description and Justification, March 7, 1994, Pages 1-46.
	QZ	Karen, Oliver, PC Magazine, The Digital Doorman, November 16, 1999, Page 68.
	RA	Liepins et al., Anomaly Detection: Purpose and Frameowrk, 1989, Pages 495-504.
	RB	Lindqvist et al., Detecting Computer and Network Misuse Through the Production-Bases Expert System Toolset (P-BEST), October 25, 1998, Pages 1-20.
	RC	Lunt, Teresa, A survey of intrusion detection techniques, 1993, Pages 405-418.
	RD	Lunt, Teresa, Automated Audit Trail Analysis and Intrusion Detection: A Survey, October 1988, Pages 1-8.
	RE	Porras et al., Penetration State Transition Analysis A Rule-Based Intrusion Detection Approach, 1992, Pages 220-229.
	RF	Sebring et al., Expert Systems in Intrusion Detection: A Case Study, October 1988, Pages 74-81.
	RG	Shieh et al., A Pattern-Oriented Intrusion-Detection Model and Its Applications, 1991, Pages 327-342.
	RH	Smaha, Stephen, Haystack: An Intrusion Detection System, 1988.
	RI	Snapp, Steven Ray, Signature Analysis and Communication Issues in a Distributed Intrusion Detection System, 1991, Pages 1-40.
✓	RJ	Porras et al., EMERALD: Event Monitoring Enabling Responses to Anomalous Live Disturbances, October 1997, Pages 353-365.

EXAMINER	/Andrew Nalven/ (04/16/2007).	DATE CONSIDERED
----------	-------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134
		BOX 2 / 4

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
-----------------	------	---------	------	------------------------

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

/A.N.	RK	Lunt et al., Knowledge-Based Intrusion Detection, March 1989, Pages 102-107.
	RL	Lunt et al., An Expert System to Classify and Sanitize Text, December 1987, Pages 1-5.
	RM	Tener, William, Computer Security in the Age of Information, AI & 4GL: Automated Detection and Investigation Tools, 1989, Pages 23-29.
	RN	Teng et al., Adaptive Real-time Anomaly Detection Using Inductively Generated Sequential Patterns, 1990, Pages 278-284.
	RO	Vaccaro et al., Detection of Anomalous Computer Session Activity, 1989, Pages 280-289.
	RP	Winkler, J.R., A UNIX Prototype for Intrusion and Anomaly Detection in Secure Networks, 1990, Pages 115-124.
	RQ	Boyen et al. Tractable Inference for Complex Stochastic Process, July 24-26, 1998.
	RR	Copeland, Observing Network Traffic – Techniques to Sort Out the Good, the Bad, and the Ugly, 2000, Pages 1-7.
	RS	Goan, Terrance, Communications of the ACM, A Cop on the Beat Collecting and Appraising Intrusion Evidence, July 1999, Pages 47-52.
	RT	Heberlein et al., A network Security Monitor, 1990, Pages 296-304.
	RU	Jackson et al., An Expert System Applications for Network Intrusion Detection, October 1991, Pages 1-8.
↓	RV	Lankewicz et al., Real-Time Anomaly Detection Using a Nonparametric Pattern Recognition Approach, 1991, Pages 80-89.

EXAMINER	/Andrew Nalvon/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

BOX 2 / 4**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.

OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

/A.N./	RW	Lippmann et al., Evaluating Intrusion Detection Systems: The 1998 DARPA Off-line Intrusion Detection Evaluation, 1999.
	RX	Munson et al., Watcher: The Missing Piece of the Security Puzzle, December 2001.
	RY	Pearl, Judea, Probabilistic Reasoning in Intelligent Systems: Networks of Plausible Inference, September 1988.
	RZ	Porras et al., Live Traffic Analysis of TCP/IP Gateways, December 12, 1997, Pages 1-13.
	SA	EMERALD TCP Statistical Analyzer 1998 Evaluation Results, http://www.sdl.sri.com/projects/emerald/98-eval-estat/index.html , July 9, 1999, Pages 1-15.
	SB	Staniford-Chen, Grids-A Graph Based Intrusion Detection System for Large Networks, October 1996.
	SC	Tener, William, Discovery: An Expert System in the Commercial Data Security Environment, December 1986, Pages 45-53.
	SD	Valdes et al., Adaptive, Model-Based Monitoring for Cyber Attack Detection, 2000, Pages 1-19.
	SE	SRI International, Blue Sensors, Sensor Correlation, and Alert Fusion, October 4, 2000.
	SF	Valdes et al., Statistical Methods for Computer Usage Anomaly Detection Using NIDES, January 27, 1995, Pages 306-311.
	SG	Wimer, Scott, The Core of CylantSecure, http://www.cylant.com/products/core.html , 1999, pages 1-4.
✓	SH	Zhang et al., A Hierarchical Anomaly Network Intrusion Detection System using Neural Network Classification, February 2001.

EXAMINER /Andrew Nalven/ (04/16/2007) DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE	
05456.105035		09/874,574		June 4, 2001	
APPLICANT				GROUP	
Robert David Graham				2134	
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME		FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
OTHER REFERENCES <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>					
/A.N./	SI	Cisco Secure Intrusion Detection System 2.1.1 Release Notes, http://www.cisco.com/univercd/cc/td/doc/product/iaabu/csids/csids3/nr211new.htm , June 10, 2003, pages 1-29.			
	SJ	Linux Weekly News, http://lwn.net/1998/0910shadow.html , September 8, 1998, pages 1-38.			
	SK	Cracker Tracking: Tighter Security with Intrusion Detection, http://www.bvte.com/art/9805/sec20/art1.htm , May 1998, pages 1-8.			
	SL	Cisco Systems, Inc., Newtork RS: Intrusion Detection and Scanning with Active Audit Session 1305, 1998.			
	SM	Business Security Advisor Magazine, Intrusion Detection Systems: What You Need to Know, http://advisor.com/doc/0527 , September 1998, pages 1-7.			
	SN	Garvey et al., An Inference Technique for Integrating Knowledge from Disparate Sources, Multisensor Integration and Fusion for Intelligent Machines and Systems, 1995, pages 458-464.			
	SO	Power et al., CSI Intrusion Detection System Resource, July 1998, pages 1-7.			
	SP	Cisco Systems, Inc., NetRanger User's Guide Version 2.1.1, 1998.			
	SQ	Internet Security Systems, Real-Time Attack Recognition and Response: A Solution for Tightening Network Security, http://www.iss.net , 1997, Pages 1-13			
	SR	Network ICE Corporation, Network ICE Product Documentation, Pages 1-3, http://www.web.archive.org/web/20011005080013/www.networkice.com/support/documentation.html , July 6, 2004			
	SS	Network ICE Corporation, Network ICE Documentation, Page 1, http://www.web.archive.org/web/19991109050852/www.networkice.com/support/docs.htm , July 6, 2004			
✓	ST	Network ICE Corporation, Network ICE Press Releases, Page 1, http://www.web.archive.org/web/19990903214428/www.netice.com/company/pressrelease/press.htm , July 7, 2004			
EXAMINER		/Andrew Nalven/ (04/16/2007)		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE			
05456.105035		09/874,574		June 4, 2001			
APPLICANT				GROUP			
Robert David Graham				2134			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS
							FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	NAME		TRANSLATION YES NO.
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)							
/A.N./	SU	Network ICE Corporation, Network ICE Press Releases, Page 1, http://www.web.archive.org/web/20000304074934/www.netice.com/company/pressrelease/press.htm , July 7, 2004					
	SV	Brentano et al., An Architecture for Distributed Intrusion Detection System, Department of Energy Computer Security Group, 14 th Annual Conference Proceedings, Pages (17)25-17(45), May 1991					
	SW	Staniford-Chen et al., GrIDS-A Graph Based Intrusion Detection System for Large Networks, University of California, Davis, California, 19 th National Information Systems Security Conference, 1996, Pages 1-10					
	SX	Ricciulli et al., Modeling Correlated Alarms in Network Management Systems, SRI International, Menlo Park, California, , Proceedings of the Conference on Communication Networks and Distributed System Modeling and Simulation, 1997, Pages 1-8					
	SY	Porras et al., Emerald: Event Monitoring Enabling Responses to Anomalous Live Disturbances, SRI International, Menlo Park, California, 20 th National Information Systems Security Conference, October 1997, Pages 1-24					
	SZ	Porras et al., A Mission-Impact Based Approach to INFOSEC Alarm Correlation, SRI International, Menlo Park, California, October 2002, Pages 1-33					
	TA	Phrack 55 Download (234 kb, 1999-09-09), http://www.phrack.org/show.php?p=55&a=9 , Pages 1-6					
	TB	Porras et al., A Mission-Impact-Based Approach to INFOSEC Alarm Correlation, SRI International, Menlo Park, California, October 2002, Pages 1-19					
↓	TC	Bace, An Introduction to Intrusion Detection and Assessment for System and Network Security Management, 1999, Pages 1-38					
EXAMINER				DATE CONSIDERED			
/Andrew Nalven/ (04/16/2007)							
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE	
05456.105035		09/874,574		June 4, 2001	
APPLICANT				GROUP	
Robert David Graham				2134	
BOX 2 / 4					
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS
FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	NAME
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)					
/A.N./	TD	Huntman, Automated Information System - (AIS) Alarm System, University of California, Los Alamos National Laboratory, 20 th National Information System Security Conference, October 1997, Pages 1-12			
	TE	Janakiraman et al., Indra: A Peer-to-Peer Approach to Network Intrusion Detection and Prevention, Proceedings of the 12 th International Workshop on Enabling Technologies: Infrastructure for Collaborative Enterprises, 2003, Pages 1-5			
	TF	Innella, Intrusion Detection Systems (IDS), Navy Information Assurance, June 28, 2004, Pages 1-4, http://www.infosec.navy.mil/ps/?t=infosecprodsservices/infosecprodsservices.tag&bc=/infosecprodsservices/b...			
	TG	Curry, Intrusion Detection Systems, IBM Emergency Response Service, Coast Laboratory, http://www.cerias.purdue.edu/about/history/coast_resources/idcontent/ids.html , July 19, 2004, Pages 1-28			
	TH	Lunt et al., Knowledge-Based Intrusion Detection, SRI International, Menlo Park, California, Conference on AI Systems in Government, Washington, D.C., March 1989, Pages 102-107			
	TI	A. Information Assurance BAA 98-34 Cover Sheet, SRI International, November 1, 2000, Pages 2-35			
	TJ	NetScreen Products, FAQ, http://www.netscreen.com/products/faq.html , February 28, 2003, Pages 1-6			
	TK	Miller, A Network Under Attack: Leverage Your Existing Instrumentation to Recognize and Respond to Hacker Attacks, NetScout Systems, Westford, MA, April 2003, Pages 1-8			
	TL	Technology Brief: Intrusion Detection for the Millennium, Internet Security Systems, 2000, Pages 1-6			
	TM	Weiss et al., Analysis of Audit and Protocol Data using Methods from Artificial Intelligence, Siemens AG, Munich, Germany, Proc. of the 13 th National Computer Security Conference, Washington, D.C., October 1990, Pages 109-114			
✓	TN	Snapp et al., DIDS (Distributed Intrusion Detection System) - Motivation, Architecture, and an Early Prototype, University of California, Davis California, , Proc. 14 th National Computer Security Conference, Washington, D.C., October 1991, Pages 167-176			
EXAMINER		/Andrew Nalven/ (04/16/2007)		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

BOX 2 / 4**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
-----------------	------	---------	------	------------------------

OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

/A.N./	TO	Internet Security Systems, Inc., SAFEsuite Enterprise Edition, Project "Gatekeeper" 1.0, Market Requirements Document, March 30, 1998, pp. 1-12
	TP	Internet Security Systems, SAFEsuite Decisions, 2000, pp. 1-2
	TQ	Internet Security Systems, Dynamic Threat Protection, Presse-Roundtable, Munich, Germany, April 10, 2003, pp. 1-63
	TR	Internet Security Systems, Preliminary ODM 1.0 Functional Specification, August 1997, pp. 1-7
	TS	Internet Security Systems, Inc., Scanner-ISSDK Interface, Design Specification, June 2, 2000, Draft 1.07, pp. 1-7
	TT	RealSecure, Adaptive Network Security Manager Module Programmer's Reference Manual, pp. 1-74
	TU	Advanced Concept Technology Demonstrations (ACTD), 2005, pp. 1-28
	TV	Frank, Sounding the Alarm, September 6, 1999, Federal Computer Week, pp. 1-2
	TW	Crumb, Intrusion Detection Systems to be Integrated at AFRL, Air Force Research Laboratory, News@AFRL, Fall 1999, pp. 1
	TX	Temin, Case Study: The IA: AIDE System at Two, 15 th Annual Computer Security Applications Conference, December 6-10, 1999, Phoenix, Arizona, pp. 1-26
	TY	Spink, Automated Intrusion Detection Environment (AIDE), Intrusion Detection Sensor Fusion, September 7, 1999, pp. 1-25
	TZ	Frincke et al., A Framework for Cooperative Intrusion Detection, 21 st National Information Systems Security Conference, October 6-9, 1998, Crystal City, Virginia, pp. 1-20

EXAMINER /Andrew Nalven/ (04/16/2007) DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035		SERIAL NO. 09/874,574		FILING DATE June 4, 2001	
APPLICANT Robert David Graham				GROUP 2134	
BOX 2 / 4					
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS SUBCLASS FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	NAME TRANSLATION YES NO.
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)					
/A.N./	UA	Anderson et al., In Athena's Camp: Preparing for Conflict in the Information Age, An Exploration of Cyberspace Security R&D Investment Strategies for DARPA: The Day After-in Cyberspace II, Chaper Eleven, 1996, pp. 253-271			
	UB	Valdes et al., SRI International, Probabilistic Alert Correlation, 2001, pp. 1-15			
	UC	Bass, Multisensor Data Fusion for Next Generation Distributed Intrusion Detection Systems, April 28, 1999, Iris National Symposium, pp. 1-6			
	UD	Perrochon et al., Enlisting Event Patterns for Cyber Battlefield Awareness, No Date, Stanford University, pp. 1-12			
	UE	Perrochon, Using Context-Based Correlation in Network Operations and Management, July 2, 1999, Stanford University, pp. 1-20			
	UF	Perrochon, Real Time Event Based Analysis of Complex Systems, June 1998, pp. 1-9			
	UG	Luckham et al., Complex Event Processing in Distributed Systems, August 18, 1988, Stanford University, pp. 1-26			
	UH	Pettigrew, US Southcom United States Southern Command's Information Sharing Projects, Summer 1999, IAnewsletter, Vol. 3, No. 1, pp. 1-24			
	UI	Levitt et al., CMAD IV, Computer Misuse & Anomaly Detection, Session Summaries, November 12-14, 1996, Proceedings of the Fourth Workshop on Future Directions in Computer Misuse and Anomaly Detection, Monterey, California, pp. 1-86			
✓	UJ	Cheung et al., The Design of GrIDS: A Graph-Based Intrusion Detection System, January 26, 1999, University of California, pp. 1-51			
EXAMINER		/Andrew Nalven/ (04/16/2007)		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134
		BOX 2, 3 / 4

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
-----------------	------	---------	------	------------------------

OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

/A.N./	UK	Cohen et al., Report of the Reporting and Analysis Track, Detection of Malicious Code, Intrusions, and Anomalous Activities Workshop, February 22-23, 1999, pp. 1-47
	UL	Garofalakis et al., Network Mining and Analysis: The Nemesis Project, Bell Labs, Lucent Technologies, No Date, pp. 1-12
	UM	RealSecure ICEcap Manager User Guide Version 3.6, Internet Security Systems, Inc., 1998-2002, pp. 1-228
	UN	Cuppens, Cooperative Intrusion Detection, Date Unknown, pp. 1-10
	UO	Mukherjee et al., Network Intrusion Detection, IEEE Network, May/June 1994, pp. 26-41
	UP	Machlis, Net Monitoring Tools Gain Real-Time Alerts, April 14, 1997, http://www.computerworld.com , pp. 1-12
	UQ	OmniGuard/ITA Intruder Alert, AXENT Technologies, Inc., November 17, 2004, http://www.web.archive.org , pp. 1-10
	UR	NetRanger Overview, Chapter 1, Date Unknown, pp. 1-16
	US	Sutterfield, Large-Scale Network Intrusion Detection, 1997, WheelGroup Corporation, pp. 1-10
	UT	Kumar et al., An Application of Pattern Matching in Intrusion Detection, Technical Report No. CSD-TR-94-013, June 17, 1994, Purdue University, pp. 1-55
	UU	Huang et al., A Large-Scale Distributed Intrusion Detection Framework Based on Attack Strategy Analysis, Date Unknown, The Boeing Company, pp. 1-12
	UV	Perrochon et al., Event Mining with Event Processing Networks, Date Unknown, Stanford University, pp. 1-4

EXAMINER	/Andrew Nalven/ (04/16/2007)	DATE CONSIDERED
----------	------------------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

BOX 3 / 4**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
-----------------	------	---------	------	------------------------

OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

/A.N./	UW	Gruschke, Integrated Event Management: Event Correlation Using Dependency Graphs, presented at DSOM 1998, University of Munich, pp. 1-12
	UX	Bass, Intrusion Detection System and Multisensor Data Fusion, April 2000, Communications of the ACM, Vol. 43, No. 4, pp. 99-105
	UY	Bass et al., A Glimpse into the Future of ID, Date Unknown, Usenix, pp. 1-10
	UZ	LaPadula, State of the Art in Anomaly Detection and Reaction, July 1999, Mitre Corporation, pp. 1-36
	VA	Rationalizing Security Events with Three Dimensions of Correlation, Date Unknown, NetForensics, Tech Brief, pp. 1-6
	VB	Jou et al., Design and Implementation of a Scalable Intrusion Detection System for the Protection of Network Infrastructure, Date Unknown, MCNC, pp. 1-15
	VC	Caldwell, Event Correlation: Security's Holy Grail?, August 1, 2002, GuardedNet, pp. 1-21
	VD	Metcalf et al., Intrusion Detection System Requirements, September 2000, Mitre Corporation, pp. 1-33
	VE	Jou et al., Architecture Design of a Scalable Intrusion Detection System for the Emerging Network Infrastructure, Technical Report CDRL A005, April 1997, MCNC, pp. 1-42
	VF	Security Manager for UNIX Systems Version 3.2.1 User's Guide, February 1998, Internet Security Systems, pp. 1-162
✓	VG	RealSecure Release 1.2 for UNIX A User Guide and Reference Manual, 1997, Internet Security Systems, Inc., pp. 1-92

EXAMINER /Andrew Nalven/ (04/16/2007) DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO. 05456.105035		SERIAL NO. 09/874,574		FILING DATE June 4, 2001		
APPLICANT Robert David Graham				GROUP 2134		
BOX 3 / 4						
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)						
/A.N./	VH	Internet Scanner SAFE SAFEsuite 4.0 User Guide and Reference Manual, 1996, Internet Security Systems, Inc., pp. 1-158				
	VI	Internet Scanner 3.3 User Guide and Reference Manual, 1996, Internet Security Systems, Inc., pp. 1-119				
	VJ	Landwehr et al., Newsletter of the IEEE Computer Society's TC on Security and Privacy Electronics, Electronic CIPHER, November 25, 1997, Issue 25, pp. 1-34				
	VK	20 th National Information Systems Security Conference, October 6-10, 1997, Baltimore, Maryland, pp. 1-44				
	VL	EMERALD Alert Management Interface User's Guide Version 1.2, December 6, 2000, SRI International, pp. 1-11				
	VM	Anderson et al., Detecting Unusual Program Behavior Using the Statistical Component of the Next-Generation Intrusion Detection Expert System (NIDES), May 1995, SRI International, pp. 1-89				
	VN	Lunt et al., Detecting Intruders in Computer Systems, 1993 Conference on Auditing and Computer Technology, SRI International, pp. 1-17				
	VO	Network ICE Products - ICEcap, Date Unknown, pp. 1-2				
	VP	Forlanda, The Secrets to Driving on BlackICE, January 12, 2000, Network ICE, pp. 1-35				
	VQ	BlackICE User's Guide Version 1.0 Beta Draft, 1999, Network ICE Corporation, pp. 1-59				
	VR	ICEcap Administrator's Guide Version 1.0 Beta Draft, 1999, Network ICE Corporation, pp. 1-25				
	VS	Shulak et al., ICEcap Advanced Administration Guide Version 3.0, 2001, Internet Security Systems Corporation, pp. 1-51				
EXAMINER		/Andrew Nalven/ (04/16/2007)			DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE	
05456.105035		09/874,574		June 4, 2001	
APPLICANT				GROUP	
Robert David Graham				2134	
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS SUBCLASS FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	NAME TRANSLATION YES NO.
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)					
/A.N./	VT	"Real Secure, OS Sensor User Guide," Version 5.0, ©Internet Security Systems, Inc. 1997-2000; September 2000, pgs. 1-64.			
	VU	"Real Secure, User's Guide," Version 3.0, © 1992-1998, Internet Security Systems, Inc., pgs. 1-128.			
	VV	"System Security Scanner, User Guide," Version 1.6, © 1996-1998, Internet Security Systems, Inc., pgs. 1-164.			
	VW	"Real Secure™, Network Engine User Guide," Version 3.2.1, © 1999 by Internet Security Systems, Inc., pgs. 1-38.			
	VX	"Real Secure™, User Guide," Version 3.2.1, © 1999 by Internet Security Systems, Inc., pgs. 1-38			
	VY	"Real Secure™, Manager for HP OpenView User Guide," Version 1.3, © 1999 by Internet Security Systems, Inc., pgs. 1-48.			
	VZ	"Database Scanner, User Guide," Version 2.0, © 1992-1999, Internet Security Systems, Inc., pgs. 1-112.			
	WA	"Database Scanner™, User Guide," Version 4.0, © 2000 by Internet Security Systems, Inc., pgs. 1-122.			
	WB	"Database Scanner™, User Guide," Version 3.0.1, , © 1999 by Internet Security Systems, Inc., pgs. 1-164.			
	WC	"Real Secure™, Network Sensor User Guide," Version 5.0, © 2000 by Internet Security Systems, Inc., pgs. 1-42.			
	WD	"Real Secure, Server Sensor User Guide," Version 5.5, © Internet Security Systems, Inc. 2000, pgs. 1-56.			
	WE	"Internet Scanner™, User Guide," Version 6.0, Copyright © 1999 by Internet Security Systems, Inc., pgs. 1-182.			
	WF	"Internet Scanner™, User Guide," Version 6.1, © 2000 by Internet Security Systems, Inc., pgs. 1-226.			
	WG	"Internet Scanner™, User Guide," Version 5.6, © 1992-1998, Internet Security Systems, Inc., pgs. 1-162.			
EXAMINER		/Andrew Nalven/ (04/16/2007) DATE CONSIDERED			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE	
05456.105035		09/874,574		June 4, 2001	
APPLICANT				GROUP	
Robert David Graham				2134	
BOX 4 / 4					
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS
FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	NAME
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)					
	WH	"Internet Scanner™, User Guide," Version 5.3, © 1992-1998, Internet Security Systems, Inc. pgs. 1-173.			
/A.N./	WI	"Real Secure, Console User Guide," Version 5.5, © 1997-2000, Internet Security Systems, Inc., pgs. 1-162.			
	WJ	"Internet Scanner™, User Guide," Version 5.8, © 1999 by Internet Security Systems, Inc., pgs. 1-148.			
	WK	"SAFEsuite Decisions, User Guide," Version 1.0, © 1992-1998, Internet Security Systems, Inc., pgs. 1-88.			
	WL	"Real Secure™, Console User Guide," Version 5.0, © 2000 by Internet Security Systems, Inc., pgs. 1-114.			
	WM	"SAFEsuite DECISIONS, User Guide," Version 2.5, © 2000 by Internet Security Systems, Inc., pgs. 1-194.			
	WN	"System Scanner, User Guide," Version 1.7, © 1992-1998, Internet Security Systems, Inc., pgs. 1-248.			
	WO	"Sytem Scanner, User Guide," Version 1.0, © 1996-1998, Internet Security Systems, Inc., pgs. 1-140.			
	WP	"System Scanner™, User Guide," Version 4.0, © 1999 by Internet Security Systems, Inc., pgs. 1-178.			
	WQ	Internet Security Systems, Inc., "Introduction to RealSecure Version 5.0, The Industry's Only Integrated Host-Based and Network-Based Intrusion Detection System", August 22, 2000, pp. 1-47			
	WR	Internet Security Systems, Inc., "RealSecure Network Sensor and Gigabit Network Sensor Policy Guide Version 7.0", August 2003, pp. 1-86			
	WS	Internet Security Systems, Inc., "RealSecure Console User Guide Version 3.1", June 1999, pp. 1-98			
	WT	Internet Security Systems, Inc., "RealSecure Version 2.0", April 1998, pp. 1-154			
↓	WU	Internet Security Systems, Inc., "Enhanced Dynamic Threat Protection via Automated Correlation and Analysis", an ISS White Paper, 2002, pp. 1-14			
EXAMINER		/Andrew Nalven/ (04/16/2007)		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

BOX 4 / 4**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.N./	WV	6,871,284	03/22/05	Cooper et al.	713		
/A.N./	WW	5,872,915	02/16/99	Dykes et al.	713		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

/A.N./	WX	Internet Security Systems, Inc., "RealSecure Site Protector Comparison Guide for Internet Scanner 7.0 Reporting Version 1.2", March 2003, an ISS Tech Note, pp. 1-15
	WY	Internet Security System, Inc., "RealSecure Site Protector Comparison Guide for ICEcap Manager Version 1.5", January 2002, an ISS Technical White Paper, pp. 1-27
	WZ	Internet Security Systems, Inc., "RealSecure SiteProtector Security Fusion Module 2.0 Frequently Asked Questions", March 2003, pp. 1-8
	XA	Internet Security Systems, Inc., "RealSecure SiteProtector Console User Reference Guide Version 2.0 Service Pack 1", March 14, 2003, Chapter 3, pp. 1-27
	XB	Internet Security Systems, Inc., "Proventia Gateway and Network Protection", July 2003, pp. 1-12
	XC	Farley, Internet Security System, Inc., "ID Fusion Technology, A First-Generation Approach", April 29, 1999, National Security Framework Forum, pp. 1-8
	XD	Farley, "RealSecure Fusion Engine Concepts", an ISS White Paper, June 30, 1999, pp. 1-23
	XE	McGraw et al., "Secure Computing with Java: Now and the Future", 1997, http://java.sun.com/security/javaone97-whitepaper.html , pgs. 1-20

EXAMINER /A N / /Andrew Nalven/ (04/16/2007) **BE CONSIDERED**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.